

## Electronic Acknowledgement Receipt

<b>EFS ID:</b>	5479073
<b>Application Number:</b>	10759905
<b>International Application Number:</b>	
<b>Confirmation Number:</b>	1515
<b>Title of Invention:</b>	Convertible debt hedge
<b>First Named Inventor/Applicant Name:</b>	Kevin G. Woodruff
<b>Customer Number:</b>	26285
<b>Filer:</b>	Michael Todd Duckworth/Mona Cornelia
<b>Filer Authorized By:</b>	Michael Todd Duckworth
<b>Attorney Docket Number:</b>	030678
<b>Receipt Date:</b>	09-JUN-2009
<b>Filing Date:</b>	16-JAN-2004
<b>Time Stamp:</b>	13:27:09
<b>Application Type:</b>	Utility under 35 USC 111(a)

### Payment information:

Submitted with Payment	yes
Payment Type	Deposit Account
Payment was successfully received in RAM	\$1110
RAM confirmation Number	8918
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The Director of the USPTO is hereby authorized to charge indicated fees and credit any overpayment as follows:

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## File Listing:

Document Number	Document Description	File Name	File Size(Bytes)/ Message Digest	Multi Part /.zip	Pages (if appl.)
1	Miscellaneous Incoming Letter	Amendment_Transmittal.pdf	243213 88f5a3a6c0d49f57c1218dbbce1e5a7e72a62	no	4

### Warnings:

### Information:

2		Amendment.pdf	2461337 3042fb18ab65f54a1c8f808d170e6a70955d6e	yes	25
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### Multipart Description/PDF files in .zip description

Document Description	Start	End
Amendment/Req. Reconsideration-After Non-Final Reject	1	1
Claims	2	19
Applicant Arguments/Remarks Made in an Amendment	20	25

### Warnings:

### Information:

3	Fee Worksheet (PTO-875)	fee-info.pdf	30192 1c5580d1704d414ea17666d1766024055a011b60	no	2
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### Warnings:

### Information:

Total Files Size (in bytes): 2734742

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### New Applications Under 35 U.S.C. 111

If a new application is being filed and the application includes the necessary components for a filing date (see 37 CFR 1.53(b)-(d) and MPEP 506), a Filing Receipt (37 CFR 1.54) will be issued in due course and the date shown on this Acknowledgement Receipt will establish the filing date of the application.

### National Stage of an International Application under 35 U.S.C. 371

If a timely submission to enter the national stage of an international application is compliant with the conditions of 35 U.S.C. 371 and other applicable requirements a Form PCT/DO/EO/903 indicating acceptance of the application as a national stage submission under 35 U.S.C. 371 will be issued in addition to the Filing Receipt, in due course.

### New International Application Filed with the USPTO as a Receiving Office

If a new international application is being filed and the international application includes the necessary components for an international filing date (see PCT Article 11 and MPEP 1810), a Notification of the International Application Number and of the International Filing Date (Form PCT/RO/105) will be issued in due course, subject to prescriptions concerning national security, and the date shown on this Acknowledgement Receipt will establish the international filing date of the application.